Word-Based and Character-Based Word Segmentation Models: Comparison and Combination

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Abstract

We present a theoretical and empirical comparative analysis of the two dominant categories of approaches in Chinese word segmentation: word-based models and character-based models. We show that, in spite of similar performance overall, the two models produce different distribution of segmentation errors, in a way that can be explained by theoretical properties of the two models. The analysis is further exploited to improve segmentation accuracy by integrating a word-based segmenter and a character-based segmenter. A *Bootstrap Aggregating* model is proposed. By letting multiple segmenters vote, our model improves segmentation consistently on the four different data sets from the second SIGHAN bakeoff.

1 Introduction

To find the basic language units, i.e. words, segmentation is a necessary initial step for Chinese language processing. There are two dominant models for Chinese word segmentation. The first one is what we call "word-based" approach, where the basic predicting units are words themselves. This kind of segmenters sequentially decides whether the local sequence of characters make up a word. This word-by-word approach ranges from naive maximum matching (Chen and Liu, 1992) to complex solution based on semi-Markov conditional random fields (CRF) (Andrew, 2006). The second is "character-based" approach, where basic processing units are characters which compose words. Segmentation is formulated as a classification problem to predict whether a character locates at the beginning of, inside or at the end of a word. This characterby-character method was first proposed in (Xue, 2003), and a number of sequence labeling algorithms have been exploited.

This paper is concerned with the behavior of different segmentation models in general. We present a theoretical and empirical comparative analysis of the two dominant approaches. Theoretically, these approaches are different. The word-based models do prediction on a dynamic sequence of possible words, while characterbased models on a static character sequence. The former models have a stronger ability to represent word token features for disambiguation, while the latter models can better induce a word from its internal structure. For empirical analysis, we implement two segmenters, both using the *Passive-Aggressive* algorithm (Crammer et al., 2006) to estimate parameters. Our experiments indicate that despite similar performance in terms of overall F-score, the two models produce different types of errors, in a way that can be explained by theoretical properties. We will present a detailed analysis that reveals important differences of the two methods in Sec. 4.

The two types of approaches exhibit different behaviors, and each segmentation model has strengths and weaknesses. We further consider integrating word-based and character-based models in order to exploit their complementary strengths and thereby improve segmentation accuracy beyond what is possible by either model in isolation. We present a *Bootstrap Aggregating* model to combine multiple segmentation systems. By

letting multiple segmenters vote, our combination model improves accuracy consistently on all the four different segmentation data sets from the second SIGHAN bakeoff. We also compare our integrating system to the state-of-the-art segmentation systems. Our system obtains the highest reported F-scores on three data sets.

2 Two Methods for Word Segmentation

First of all, we distinguish two kinds of "words": (1) Words in dictionary are word types; (2) Words in sentences are word tokens. The goal of word segmentation is to identify word tokens in a running text, where a large dictionary (i.e. list of word types) and annotated corpora may be available. From the view of *token*, we divide segmentation models into two main categories: wordbased models and character-based models. There are two key points of a segmentation model: (1) How to decide whether a local sequence of characters is a word? (2) How to do disambiguation if ambiguous segmentation occurs? For each model, we separately discuss the strategies for word prediction and segmentation disambiguation.

2.1 Word-Based Approach

It may be the most natural idea for segmentation to find word tokens one by one. This kind of segmenters read the input sentences from left to right, predict whether current piece of continuous characters is a word token. After one word is found, segmenters move on and search for next possible word. There are different strategies for the word prediction and disambiguation problems. Take for example maximum matching, which was a popular algorithm at the early stage of research (Chen and Liu, 1992). For word prediction, if a sequence of characters appears in a dictionary, it is taken as a word candidate. For segmentation disambiguation, if more than one word types are matched, the algorithm chooses the longest one.

In the last several years, machine learning techniques are employed to improve word-based segmentation, where the above two problems are solved in a uniform model. Given a sequence of characters $\mathbf{c} \in \mathcal{C}^n$ (*n* is the number of characters), denote a segmented sequence of words $\mathbf{w} \in \mathcal{W}^m$ $(m \text{ is the number of words, i.e. } m \text{ varies with } \mathbf{w})$,

and a function GEN that enumerates a set of segmentation candidates $GEN(c)$ for c. In general, a segmenter solves the following "argmax" problem:

$$
\hat{\mathbf{w}} = \arg \max_{\mathbf{w} \in \text{GEN}(\mathbf{c})} \theta^{\top} \Phi(\mathbf{c}, \mathbf{w}) \tag{1}
$$

$$
= \arg \max_{\mathbf{w} \in \text{GEN}(\mathbf{c})} \theta^{\top} \sum_{i=1}^{|\mathbf{w}|} \phi(\mathbf{c}, w_{[1:i]}) \tag{2}
$$

where Φ and ϕ are global and local feature maps and θ is the parameter vector to learn. The inner product $\theta^{\top} \phi(\mathbf{c}, w_{[1:i]})$ can been seen as the confidence score of whether w_i is a word. The disambiguation takes into account confidence score of each word, by using the sum of local scores as its criteria. Markov assumption is necessary for computation, so ϕ is usually defined on a limited history. Perceptron and semi-Markov CRFs were used to estimate θ in previous work (Zhang and Clark, 2007; Andrew, 2006).

2.2 Character-Based Approach

Most previous data-driven segmentation solutions took an alternative, character-based view. This approach observes that by classifying characters as different positions in words, segmentation can be treated as a sequence labeling problem, assigning labels to the characters in a sentence indicating whether a character c_i is a single character word (*S*) or the begin (B) , middle (I) or end (E) of a multi-character word. For word prediction, word tokens are inferred based on the character classes. The main difficulty of this model is character ambiguity that most Chinese characters can occur in different positions within different words. Linear models are also popular for character disambiguation (i.e. segmentation disambiguation). Denote a sequence of character labels $y \in \mathcal{Y}^n$, a linear model is defined as:

$$
\hat{\mathbf{y}} = \arg \max_{\mathbf{y} \in \mathcal{Y}^{|\mathbf{c}|}} \theta^{\top} \Psi(\mathbf{c}, \mathbf{y}) \tag{3}
$$

$$
= \arg \max_{\mathbf{y} \in \mathcal{Y}^{|\mathbf{c}|}} \theta^{\top} \sum_{i=1}^{|\mathbf{c}|} \psi(\mathbf{c}, y_{[1:i]}) \qquad (4)
$$

Note that local feature map ψ is defined only on the sequence of characters and their labels. Several discriminative models have been exploited for parameter estimation, including perceptron, CRFs, and discriminative latent variable CRFs (Jiang et al., 2009; Tseng, 2005; Sun et al., 2009b).

2.3 Theoretical Comparison

Theoretically, the two types of models are different. We compare them from four aspects.

2.3.1 Internal Structure of Words

Chinese words have internal structures. In most cases, Chinese character is a morpheme which is the smallest meaningful unit of the language. Though we cannot exactly infer the meaning of a word from its character components, the character structure is still meaningful. Partially characterizing the internal structures of words, one advantage of character-based models is the ability to induce new words. E.g., character "者/person" is usually used as a suffix meaning "one kind of people". If a segmenter never sees "工作者/worker" in training data, it may still rightly recognize this word by analyzing the prefix "工作/work" with label *BI* and the suffix " $\ddot{\mathbf{x}}$ " with label *E*. In contrast, current word-based models only utilize the weighted features as word prediction criteria, and thus word formation information is not well explored. For more details about Chinese word fomation, see (Sun et al., 2009a).

2.3.2 Linearity and Nonlinearity

A majority of structured prediction models are linear models in the sense that the score functions are linear combination of parameters. Both previous solutions for word-based and characterbased systems utilize linear models. However, both "linear" models incur nonlinearity to some extent. In general, a sequence classification itself involves nonlinearity in a way that the features of current token usually encode previous state information which is linear combination of features of previous tokens. The interested readers may consult (Liang et al., 2008) for preliminary discussion about the nonlinearity in structured models. This kind of nonlinearity exists in both wordbased and character-based models. In addition, in most character-based models, a word should take a *S* label or start with a *B* label, end with *E* label,

and only have *I* label inside. This inductive way for word prediction actually behaves nonlinearly.

2.3.3 Dynamic Tokens or Static Tokens

Since word-based models take the sum of part score of each individual word token, it increases the upper bound of the whole score to segment more words. As a result, word-based segmenter tends to segment words into smaller pieces. A difficult case occurs when a word token w consists of some word types which could be separated as words on their own. In such cases a word-based segmenter more easily splits the word into individual words. For example, in the phrase " \mathbb{Z} + \equiv \overline{F} /4300 $*$ /meter (4300 meters)", the numeral "四千三百" consists of two individual numeral types " \mathbb{Z} + (4000)" and " $\equiv \overline{F}$ (300)". A wordbased segmenter more easily made a mistake to segment two word tokens. This phenomenon is very common in named entities.

2.3.4 Word Token or Word Type Features

In character-based models, features are usually defined by the character information in the neighboring n-character window. Despite a large set of valuable features that could be expressed, it is slightly less natural to encode predicted word token information. On the contrary, taking words as dynamic tokens, it is very easy to define word token features in a word-based model. Wordbased segmenters hence have greater representational power. Despite of the lack of word token representation ability, character-based segmenters can use word type features by looking up a dictionary. For example, if a local sequence of characters following current token matches a word in a dictionary; these word types can be used as features. If a string matches a word type, it has a very high probability (ca. 90%) to be a word token. So word type features are good approximation of word token features.

3 Baseline Systems

For empirical analysis, we implement segmenters in word-based and character-based architectures respectively. We introduce them from three aspects: basic models, parameter estimation and feature selection.

3.1 Models

For both word-based and character-based segmenters, we use linear models introduced in the section above. We use a first order Markov models for training and testing. In particular, for word-based segmenter, the local feature map $\phi(\mathbf{c}, w_{[1:i]})$ is defined only on \mathbf{c}, w_{i-1} and w_i , and thereby Eq. 2 is defined as $\hat{\mathbf{w}} =$ 2 is defined as $\hat{\mathbf{w}}$ = $\arg \max_{\mathbf{w} \in \text{GEN}(\mathbf{c})} \theta^{\top} \sum_{i=1}^{|\mathbf{w}|} \phi(\mathbf{c}, w_{i-1}, w_i)$. This model has a first-order Semi-Markov structure. For decoding, Zhang and Clark (2007) used a beam search algorithm to get approximate solutions, and Sarawagi and Cohen (2004) introduced a Viterbi style algorithm for exact inference. Since the exact inference algorithm is efficient enough, we use this algorithm in our segmenter at both training and testing time.

For our character-based segmenter, the local feature map $\psi(\mathbf{c}, y_{[1:i]})$ is defined on \mathbf{c}, y_{i-1} and y_i , and Eq. 4 is defined as \hat{y} = $\arg \max_{\mathbf{y} \in \mathcal{Y}} |c| \theta^\top \sum_{i=1}^{|c|} \psi(\theta, y_{i-1}, y_i).$ In our character-based segmenter, we also use a Viterbi algorithm for decoding.

3.2 Learning

We adopt *Passive-Aggressive* (PA) framework (Crammer et al., 2006), a family of margin based online learning algorithms, for the parameter estimation. It is fast and easy to implement. Alg. 1 illustrates the learning procedure. The parameter vector w is initialized to (0, ..., 0). A *PA* learner processes all the instances $(t$ is from 1 to *n*) in each iteration (I) . If current hypothesis (w) fails to predict x_t , the learner update w through calculating the loss l_t and the difference between $\Phi(\mathbf{x}_t, \mathbf{y}_t^*)$ and $\Phi(\mathbf{x}_t, \mathbf{y}_t)$ (line 5-7). There are three variants in the update step. We here only present the PA-II rule¹, which performs best in our experiments.

The PA algorithm utilizes a paradigm of costsensitive learning to resolve structured prediction. A cost function ρ is necessary to calculate the loss l_t (line 5). For every pair of labels (y^*, y) , users should define a cost $\rho(y^*, y)$ associated with predicting y^* when the correct label is y. ρ should be defined differently for different purposes. There are two natural costs for segmentation: (1) sum of the number of wrong and missed word predictions and (2) sum of the number of wrongly classified characters. We tried both cost functions for both models. We find that the first one is suitable for word-based segmenter and the second one is suitable for character-based segmenter. We do not report segmentation performance with "weaker" cost in later sections. C (in line 6) is the slack variable. In our experiments, the segmentation performance is not sensitive to C . In the following experiments, we set $C = 1$.

3.3 Features

3.3.1 Word-based Segmenter

For the convenience of illustration, we denote a candidate word token w_i with a context $c_{j-1}[w_{i-1}c_j...c_k][w_i c_{k+1}...c_l]c_{l+1}.$

The character features includes,

Boundary character unigram: c_i , c_k , c_{k+1} , c_l and c_{l+1} ; *Boundary character bigram*: $c_k c_{k+1}$ and $c_l c_{l+1}.$

Inside character unigram: c_s ($k + 1 < s < l$); *Inside character bigram:* $c_s c_{s+1}$ ($k+1 < s < l$). *Length of current word*.

Whether c_{k+1} and c_{k+1} are *identical*.

Combination Features: c_{k+1} and c_l ,

The word token features includes,

Word Unigram: previous word w_{i-1} and current word w_i ; *Word Bigram*: $w_{i-1}w_i$.

¹See the original paper for more details.

The *identity* of wi, if it is a *Single character word*.

Combination Features: w_{i-1} and length of w_i , w_i and length of w_{i-1} . c_{k+1} and length of w_i , c_l and length of w_i .

3.3.2 Character-based Segmenter

We use the exact same feature templates discribed in (Sun et al., 2009b). The features are divided into two types: character features and word type features. Note that the word type features are indicator functions that fire when the local character sequence matches a word unigram or bigram. Dictionaries containing word unigrams and bigrams was collected from the training data. Limited to the document length, we do not give the discription for the features. We suggest readers to refer to the original paper for details.

4 Empirical Analysis

We present a series of experiments that relate segmentation performance to a set of properties of input words. We argue that the results can be correlated to specific theoretical aspects of each model.

4.1 Experimental Setting

We used the data provided by the second SIGHAN Bakeoff (Emerson, 2005) to test the two segmentation models. The data contains four corpora from different sources: Academia Sinica Corpus (AS), City University of Hong Kong (CU), Microsoft Research Asia (MSR), and Peking University (PKU). There is no fixed standard for Chinese word segmentation. The four data sets above are annotated with different standards. To catch general properties, we do experiments on all the four data sets. Three metrics were used for evaluation: precision (P), recall (R) and balanced F-score (F) defined by 2PR/(P+R).

4.2 Baseline Performance

Tab. 1 shows the performance of our two segmenters. Numbers of iterations are respectively set to 15 and 20 for our word-based segmenter and character-based segmenter. The word-based segmenter performs slightly worse than the characterbased segmenter. This is different from the experiments reported in (Zhang and Clark, 2007). We

	Model	$P(\%)$	$R(\%)$	F
AS	Character	94.8	94.7	94.7
	Word	93.5	94.8	94.2
CU	Character	95.5	94.6	95.0
	Word	94.4	94.7	94.6
MSR	Character	96.1	96.5	96.3
	Word	96.0	96.3	96.1
PKU	Character	94.6	94.9	94.8
	Word	94.7	94.3	94.5

Table 1: Baseline performance.

think the main reason is that we use a different learning architecture.

4.3 Word Frequency Factors

Figure 1: Segmentation recall relative to gold word frequency.

Our theoretical analysis also suggests that character-based has stronger word induction ability because it focuses more on word internal structures and thereby expresses more nonlinearity. To test the word induction ability, we present the recall relative to word frequency. If a word appears in a training data many times, the learner usually works in a "memorizing" way. On the contrary, infrequent words should be correctly recognized in a somehow "inductive" way. Fig. 1 shows the recall change relative to word frequency in each training data. Note that, the words with frequency 0 are out-of-vocabulary (OOV) words. We can clearly see that character-based model outperforms word-based model for infrequent word, especially OOV words, recognition. The "memoriz-

Figure 2: Segmentation precision/recall relative to gold word length in training data.

ing" ability of the two models is similar; on the AS and CU data sets, the word-based model performs slightly better. Neither model is robust enough to reliably segment unfamiliar words. The recall of OOV words is much lower than in-vocabulary words.

4.4 Length Factors

Length	AS	CH	MSR	PKU
	61254	19116	48092	45911
$\mathcal{D}_{\mathcal{L}}$	52268	18186	49472	49861
3	6990	2682	4652	5132
4	1417	759	2711	2059
$5(+)$	690	193	1946	656

Table 2: Word length statistics on test sets.

Tab. 2 shows the statistics of word counts relative to word length on each test data sets. There are much less words with length more than 4. Analysis on long words may not be statistical significant, so we only present length factors on small words (length is less than 5). Fig. 2 shows the precision/recall of both segmentation models relative sentence length. We can see that word-based model tends to predict more single character words, but making more mistakes. Since about 50% word tokens are single-character words, this is one main source of error for wordsegmenter. This can be explained by theoretical properties of dynamic token prediction discussed in Sec. 2.3.3. The score of a word boundary assignment in a word-based segmenter is defined like $\theta^\top \sum_{i=1}^{|\mathbf{w}|} \phi(\mathbf{c}, w_{[1:i]})$. The upper bound of this

score varies with the length $|w|$. If a segmentation result is with more fragments, i.e. $|\mathbf{w}|$ is larger, the upper bound of its score is higher. As a result, in many cases, a word-based segmenter prefers shorter words, which may cause errors.

4.5 Feature Factors

We would like to measure the effect of features empirically. In particular, we do not use dynamic *word token features* in our word-based segmenter, and *word type features* in our characterbased segmenter as comparison with "standard" segmenters. The difference in performance can be seen as the contribution of *word features*. There are obvious drops in both cases. Though it is not a fair comparison, word token features seem more important, since the numerical decrease in the word-based experiment is larger.

	word-based		character-based		
		$^+$		$^+$	
AS	93.1	94.2	94.1	94.7	
CU	92.6	94.6	94.2	95.0	
MSR	95.7	96.1	95.8	96.3	
PKU	93.3	94.5	94.4	94.8	

Table 3: F-score of two segmenters, with $(-)$ and without (+) *word token/type features*.

4.6 Discussion

The experiments highlight the fundamental difference between word-based and character-based models, which enlighten us to design new models. The above analysis indicates that the theoretical differences cause different error distribution. The two approaches are either based on a particular view of segmentation. Our analysis points out several drawbacks of each one. It may be helpful for both models to overcome their shortcomings. For example, one weakness of word-based model is its word induction ability which is partially caused by its neglect of internal structure of words. A word-based model may be improved by solving this problem.

5 System Combination

The error analysis also suggests that there is still space for improvement, just by combining the two existing models. Here, we introduce a classifier ensemble method for system combination.

5.1 Upper Bound of System Combination

To get an upper bound of the improvement that can be obtained by combining the strengths of each model, we have performed an oracle experiment. We think the optimal combination system should choose the right prediction when the two segmenters do not agree with each other. There is a *gold segmenter* that generates gold-standard segmentation results. In the oracle experiment, we let the three segmenters, i.e. baseline segmenters and the gold segmenter, vote. The three segmenters output three segmentation results, which are further transformed into IOB2 representation (Ramshaw and Marcus, 1995). Namely, each character has three *B* or *I* labels. We assign each character an oracle label which is chosn by at least two segmenters. When the baseline segmenters are agree with each other, the gold segmenter cannot change the segmentation whether it is right or wrong. In the situation that the two baseline segmenters disagree, the vote given by the gold segmenter will decide the right prediction. This kind of optimal performance is presented in Tab. 4. Compared these results with Tab. 1, we see a significant increase in accuracy for the four data sets. The upper bound of error reduction with system combination is over 30%.

5.2 Our Model

Bootstrap aggregating (Bagging) is a machine learning ensemble meta-algorithm to improve classification and regression models in terms of

	$P(\%)$	$R(\%)$	F	ER(%)
AS	96.6	96.9	96.7	37.7
CU	97.4	97.1	97.3	46.0
MSR	97.5	97.7	97.6	35.1
PKU	96.8	96.2	96.5	32.7

Table 4: Upper bound for combination. The error reduction (ER) rate is a comparison between the F-score produced by the oracle combination system and the character-based system (see Tab. 1).

stability and classification accuracy (Breiman, 1996). It also reduces variance and helps to avoid overfitting. Given a training set D of size n , Bagging generates m new training sets D_i of size $n' \leq n$, by sampling examples from D uniformly. The m models are fitted using the above m bootstrap samples and combined by voting (for classification) or averaging the output (for regression).

We propose a Bagging model to combine multiple segmentation systems. In the training phase, given a training set D of size n , our model generates m new training sets D_i of size 63.2% $\times n$ by sampling examples from *D* without replacement. Namely no example will be repeated in each D_i . Each D_i is separately used to train a word-based segmenter and a character-based segmenter. Using this strategy, we can get 2m *weak* segmenters. Note that the sampling strategy is different from the standard one. Our experiment shows that there is no significant difference between the two sampling strategies in terms of accuracy. However, the *non-placement* strategy is more efficient. In the segmentation phase, the $2m$ models outputs $2m$ segmentation results, which are further transformed into IOB2 representation. In other words, each character has 2m *B* or *I* labels. The final segmentation is the voting result of these $2m$ labels. Note that since $2m$ is an even number, there may be equal number of *B* and *I* labels. In this case, our system prefer *B* to reduce error propagation.

5.3 Results

Fig. 4 shows the influence of m in the bagging algorithm. Because each new data set D_i in bagging algorithm is generated by a random procedure, the performance of all bagging experiments are not the same. To give a more stable evaluation, we repeat 5 experiments for each m and show the

Figure 3: Precision/Recall/F-score of different models.

averaged F-score. We can see that the bagging model taking two segmentation models as basic systems consistently outperform the baseline systems and the bagging model taking either model in isolation as basic systems. An interesting phenomenon is that the bagging method can also improve word-based models. In contrast, there is no significant change in character-based models.

Figure 4: F-score of bagging models with different numbers of sampling data sets. *Characterbagging* means that the bagging system built on the single character-based segmenter. *Wordbagging* is named in the same way.

Fig. 3 shows the precision, recall, F-score of the two baseline systems and our final system for which we generate $m = 15$ new data sets for bagging. We can see significant improvements on the four datasets in terms of the balanced Fscore. The improvement of precision and recall are not consistent. The improvement of AS and CU datasets is from the recall improvement; the improvement of PKU datasets is from the precision improvement. We think the different performance is mainly because the four datasets are annotated by using different standards.

	AS	CU	MSR	PKU
(Zhang et al., 2006)		95.1 95.1	- 97.1	95.1
(Zhang and Clark, 2007)		94.6 95.1	97.2	94.5
(Sun et al., 2009b)	N/A	94.6	97.3	95.2
This paper	95.2	95.6	96.9	95.2

Table 5: Segmentation performance presented in previous work and of our combination model.

Tab. 5 summarizes the performance of our final system and other systems reported in a majority of previous work. The left most column indicates the reference of previous systems that represent stateof-the-art results. The comparison of the accuracy between our integrating system and the state-ofthe-art segmentation systems in the literature indicates that our combination system is competitive with the best systems, obtaining the highest reported F-scores on three data sets.

6 Conclusion

We have presented a thorough study of the difference between word-based and character-based segmentation approaches for Chinese. The theoretical and empirical analysis provides insights leading to better models. The strengths and weaknesses of the two methods are not exactly the same. To exploit their complementary strengths, we propose a Bagging model for system combination. Experiments show that the combination strategy is helpful.

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